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The [U.S. Department of Justice](#) (DOJ), [Office of Justice Programs](#) (OJP), [Office for Victims of Crime](#) (OVC), is pleased to announce that it is seeking applications for funding under the Fiscal Year (FY) 2014 Victims of Crime Act (VOCA) Crime Victim Compensation Formula Grant Program. This program furthers the Department's mission by providing crucial financial assistance to hundreds of thousands of victims each year.

# OVC FY 2014 VOCA Victim Compensation Formula

## Eligibility

Applicants are limited to state victim compensation programs that are eligible to administer VOCA Victim Compensation Formula Grants. (See "Eligibility," page 3.)

## Deadline

Applicants must register in [OJP's Grants Management System](#) (GMS) prior to submitting an application for this funding opportunity. Select the "Apply Online" button associated with the solicitation title. (See "How To Apply," page 8.) The deadline to register in GMS is 11:59 p.m. eastern time on June 18, 2014, and the deadline to apply for funding under this announcement is 11:59 p.m. eastern time on July 23, 2014. (See "Deadlines: Registration and Application," page 3.)

## Contact Information

For technical assistance with submitting an application, contact the Grants Management System Support Hotline at 888-549-9901, option 3, or via e-mail at [GMS.HelpDesk@usdoj.gov](mailto:GMS.HelpDesk@usdoj.gov). The [GMS](#) Support Hotline hours of operation are Monday-Friday from 6:00 a.m. to midnight eastern time, except federal holidays.

Applicants that experience unforeseen GMS technical issues beyond their control that prevent them from submitting their application by the deadline must contact their designated OVC victim justice program specialist at 202-307-5983 **within 24 hours after the application deadline** and request approval to submit their application.

For assistance with any other requirements of this solicitation, contact your designated OVC victim justice program specialist at 202-307-5983.

Release Date: June 6, 2014

## Contents

Overview .....	3
Deadlines: Registration and Application .....	3
Eligibility .....	3
Program-Specific Information.....	3
Performance Measures.....	4
What an Application Should Include .....	5
Information To Complete the Application for Federal Assistance (SF-424).....	5
A Statement Regarding the Use of Administrative and Training Funds .....	5
Certification of State Eligibility Requirements Statement.....	5
Accounting System and Financial Capability Questionnaire .....	5
Applicant Disclosure of High-Risk Status .....	5
Applicant Disclosure of Pending Applications.....	6
Review Process .....	7
Additional Requirements.....	7
How To Apply.....	8
Provide Feedback to OJP .....	10
Application Checklist.....	11

# **OVC FY 2014 VOCA Victim Compensation Formula CFDA #16.576**

## **Overview**

Under this solicitation, the Office for Victims of Crime (OVC) will award each eligible state compensation program an annual grant equal to 60 percent of what the state spent in state-funded benefits 2 years previously, other than amounts awarded for property damage. This grant program is authorized and funded by the Victims of Crime Act (VOCA) of 1984 (42 U.S.C. § 10602).

## **Deadlines: Registration and Application**

Applicants must register in OJP's Grants Management System (GMS) prior to submitting an application for this funding opportunity. The deadline to register in GMS is 11:59 p.m. eastern time on June 18, 2014, and the deadline to apply for funding under this announcement is 11:59 p.m. eastern time on July 23, 2014. See the "How To Apply" section on page 8 for more details.

## **Eligibility**

States, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, and any other possession or territory of the United States that has established an eligible crime victim compensation program and that meets the eligibility requirements specified in VOCA, 42 U.S.C. 10602 (b) are eligible to apply.

## **Program-Specific Information**

Funds under this program shall be used by the states and territories for awards of compensation benefits to crime victims, with the following exception: states may retain up to 5 percent of their total grant for administrative and training purposes. Each eligible state is awarded an annual grant equal to 60 percent of what the state spent in state-funded benefits 2 years previously. If the sums available in the Crime Victims Fund for grants under this program are insufficient to provide grants of 60 percent, grants from the sums available will be made to each eligible crime victim compensation program so that all such programs receive the same percentage of the amounts awarded by such programs during the preceding fiscal year, other than amounts awarded for property damage.

### **Amount and Length of Awards**

All awards are subject to the availability of appropriated funds and to any modifications or additional requirements that may be imposed by law.

### **Budget Information**

#### **Limitation on Use of Award Funds for Employee Compensation; Waiver**

With respect to any award of more than \$250,000 made under this solicitation, recipients may not use federal funds to pay total cash compensation (salary plus cash bonuses) to any

employee of the award recipient at a rate that exceeds 110 percent of the maximum annual salary payable to a member of the Federal Government's Senior Executive Service (SES) at an agency with a Certified SES Performance Appraisal System for that year. The 2014 salary table for SES employees is available at [www.opm.gov/salary-tables](http://www.opm.gov/salary-tables). Note: A recipient may compensate an employee at a greater rate, provided the amount in excess of this compensation limitation is paid with non-federal funds. (Any such additional compensation will not be considered matching funds where match requirements apply.)

The Assistant Attorney General for OJP may exercise discretion to waive, on an individual basis, the limitation on compensation rates allowable under an award. An applicant requesting a waiver should include a detailed justification in the budget narrative of the application. Unless the applicant submits a waiver request and justification with the application, the applicant should anticipate that OJP will ask the applicant to adjust and resubmit the budget.

The justification should include the particular qualifications and expertise of the individual, the uniqueness of the service the individual will provide, the individual's specific knowledge of the program or project being undertaken with award funds, and a statement explaining that the individual's salary is commensurate with the regular and customary rate for an individual with his/her qualifications and expertise, and for the work to be done.

#### **Prior Approval, Planning, and Reporting of Conference/Meeting/Training Costs**

OJP strongly encourages applicants that propose to use award funds for any conference-, meeting-, or training-related activity to review carefully—before submitting an application—the OJP policy and guidance on “conference” approval, planning, and reporting available at [www.ojp.gov/funding/confcost.htm](http://www.ojp.gov/funding/confcost.htm). OJP policy and guidance (1) encourage minimization of conference, meeting, and training costs; (2) require prior written approval (which may affect project timelines) of most such costs for cooperative agreement recipients and of some such costs for grant recipients; and (3) set cost limits, including a general prohibition of all food and beverage costs.

#### **Costs Associated With Language Assistance (if applicable)**

If an applicant proposes a program or activity that would deliver services or benefits to individuals, the costs of taking reasonable steps to provide meaningful access to those services or benefits for individuals with limited English proficiency may be allowable. Reasonable steps to provide meaningful access to services or benefits may include interpretation or translation services where appropriate.

For additional information, see the "Civil Rights Compliance" section of the OJP "Other Requirements for OJP Applications" Web page at [www.ojp.usdoj.gov/funding/other\\_requirements.htm](http://www.ojp.usdoj.gov/funding/other_requirements.htm).

#### **Match Requirement**

This solicitation does not require a match; however, if a successful application proposes a voluntary match amount and OJP approves the budget, the total match amount incorporated into the approved budget becomes mandatory and subject to audit.

#### **Performance Measures**

To assist the Department with fulfilling its responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111-352, applicants that receive funding under this solicitation must provide data

that measure the results of their work done under this solicitation. OJP will require any award recipient, post award, to provide the data requested in the “Data Grantee Provides” column using the OMB-approved form, *Victims of Crime Act, Victim Compensation Grant Program, State Performance Report* (OMB No.: 1121-0114), so that OJP can calculate values for the “Performance Measures” column. Performance measures for this solicitation are as follows:

Objective	Performance Measure(s)	Data Grantee Provides
To award compensation benefits to crime victims.	Number of claims filed. Payment statistics. Total expenses paid, by service.	Number of claims filed. Payment statistics data. Total expenses paid, by service.

OJP does not require applicants to submit performance measures data with their applications. Instead, applicants should discuss in their application their proposed methods for collecting data for performance measures. Refer to the section “What an Application Should Include” below for additional information.

## What an Application Should Include

Applicants should anticipate that if they fail to submit an application that contains all of the specified elements, it may negatively affect the review of their application; and, should a decision be made to make an award, it may result in the inclusion of special conditions that preclude the recipient from accessing or using award funds pending satisfaction of the conditions.

- 1. Information To Complete the Application for Federal Assistance (SF-424)**  
 The SF-424 is a required standard form used as a cover sheet for submission of pre-applications, applications, and related information. Grants.gov and GMS take information from the applicant’s profile to populate the fields on this form. When selecting "Type of Applicant," if the applicant is a for-profit entity, select "For-Profit Organization" or "Small Business" (as applicable).
- 2. A Statement Regarding the Use of Administrative and Training Funds**
- 3. Certification of State Eligibility Requirements Statement**
- 4. State Statute Covering the Crime Victim Compensation Program**
- 5. Accounting System and Financial Capability Questionnaire**  
 Any applicant (other than an individual) that is a nongovernmental entity and has not received any award from OJP within the past 3 years must download, complete, and submit this [form](#).
- 6. Applicant Disclosure of High-Risk Status**  
 Applicants are to disclose whether they are currently designated high-risk by another federal grant making agency. This includes any status requiring additional oversight by the federal agency due to past programmatic or financial concerns. If an applicant is designated high-risk by another federal grant making agency, you must e-mail the

following information to [OJPComplianceReporting@usdoj.gov](mailto:OJPComplianceReporting@usdoj.gov) at the time of application submission:

- The federal agency that currently designated the applicant as high-risk.
- Date the applicant was designated high-risk.
- The high-risk point of contact name, phone number, and e-mail address, from that federal agency.
- Reasons for the high-risk status;

*OJP seeks this information to ensure appropriate federal oversight of any grant award. Unlike the Excluded Parties List, this high-risk information does not disqualify any organization from receiving an OJP award. However, additional grant oversight may be included, if necessary, in award documentation.*

**7. Applicant Disclosure of Pending Applications**

Applicants are to disclose whether they have pending applications for federally funded grants or subgrants (including cooperative agreements) that include requests for funding to support the same project being proposed under this solicitation and that will cover the identical cost items outlined in the budget narrative and worksheet in the application under this solicitation. The disclosure should include both direct applications for federal funding (e.g., applications to federal agencies) and indirect applications for such funding (e.g., applications to state agencies that will subaward federal funds).

OJP seeks this information to help avoid any inappropriate duplication of funding. Leveraging multiple funding sources in a complementary manner to implement comprehensive programs or projects is encouraged and is not seen as inappropriate duplication.

Applicants that have pending applications as described above are to provide the following information about pending applications submitted within the last 12 months:

- The federal or state funding agency.
- The solicitation name/project name.
- The point of contact information at the applicable funding agency.

Federal or State Funding Agency	Solicitation Name/ Project Name	Name/Phone/E-mail for Point of Contact at Funding Agency
DOJ/COPS	COPS Hiring Program	Jane Doe, 202-000-0000, jane.doe@usdoj.gov
HHS/Substance Abuse & Mental Health Services Administration	Drug Free Communities Mentoring Program/ North County Youth Mentoring Program	John Doe, 202-000-0000, john.doe@hhs.gov

Applicants should include the table as a separate attachment, with the file name “Disclosure of Pending Applications,” to their application. Applicants that do not have pending applications as described above are to include a statement to this effect in the separate attachment page (e.g., “[Applicant Name on SF-424] does not have pending applications submitted within the last 12 months for federally funded grants or subgrants (including cooperative agreements) that include requests for funding to support the same

project being proposed under this solicitation and will cover the identical cost items outlined in the budget narrative and worksheet in the application under this solicitation.”)

## **Review Process**

OJP is committed to ensuring a fair and open process for awarding grants. OVC reviews the application to make sure that the information presented is reasonable, understandable, measurable, and achievable, as well as consistent with the solicitation. Applications for formula awards will be reviewed to ensure statutory requirements have been met.

Absent explicit statutory authorization or written delegation of authority to the contrary, the Assistant Attorney General will make all final award decisions.

## **Additional Requirements**

Applicants selected for awards must agree to comply with additional legal requirements upon acceptance of an award. OJP encourages applicants to review the information pertaining to these additional requirements prior to submitting an application. Additional information for each requirement can be found at [www.ojp.usdoj.gov/funding/other\\_requirements.htm](http://www.ojp.usdoj.gov/funding/other_requirements.htm).

- Civil Rights Compliance
- Civil Rights Compliance Specific to State Administering Agencies
- Faith-Based and Other Community Organizations
- Confidentiality
- Research and the Protection of Human Subjects
- Anti-Lobbying Act
- Financial and Government Audit Requirements
- Reporting of Potential Fraud, Waste, and Abuse, and Similar Misconduct
- National Environmental Policy Act (NEPA)
- DOJ Information Technology Standards (if applicable)
- Single Point of Contact Review
- Non-Supplanting of State or Local Funds
- Criminal Penalty for False Statements
- Compliance with [Office of Justice Programs Financial Guide](#)
- Suspension or Termination of Funding

- Nonprofit Organizations
- For-Profit Organizations
- Government Performance and Results Act (GPRA)
- Rights in Intellectual Property
- Federal Funding Accountability and Transparency Act of 2006 (FFATA)
- Awards in Excess of \$5,000,000 – Federal Taxes Certification Requirement
- Active SAM Registration
- Policy and Guidance for Approval, Planning, and Reporting of Conferences (including Meetings and Trainings)
- OJP Training Guiding Principles for Grantees and Subgrantees

## How To Apply

Applicants must submit applications through the Grants Management System ([GMS](#)), which provides support for the application, award, and management of awards at OJP. Applicants **must register in GMS for each specific funding opportunity** and should **register immediately** to meet the GMS registration deadline for this funding opportunity, particularly if this is their first time using the system. Find complete instructions on how to register and submit an application in GMS at [www.ojp.usdoj.gov/gmscbt/](http://www.ojp.usdoj.gov/gmscbt/). Applicants that experience technical difficulties during this process should e-mail [GMS.HelpDesk@usdoj.gov](mailto:GMS.HelpDesk@usdoj.gov) or call 888–549–9901 (option 3), Monday–Friday from 6:00 a.m. to midnight, eastern time, except federal holidays. OJP recommends that applicants **register immediately** to prevent delays in submitting an application package by the deadline.

**Note on File Types: GMS does not accept executable file types as application attachments.** These disallowed file types include, but are not limited to, the following extensions: “.com,” “.bat,” “.exe,” “.vbs,” “.cfg,” “.dat,” “.db,” “.dbf,” “.dll,” “.ini,” “.log,” “.ora,” “.sys,” and “.zip.”

All applicants should complete the following steps:

1. **Acquire a Data Universal Numbering System (DUNS) number.** In general, the Office of Management and Budget requires that all applicants (other than individuals) for federal funds include a DUNS number in their application for a new award or a supplement to an existing award. A DUNS number is a unique nine-digit sequence recognized as the universal standard for identifying and differentiating entities receiving federal funds. The identifier is used for tracking purposes and to validate address and point of contact information for federal assistance applicants, recipients, and subrecipients. The DUNS number will be used throughout the grant life cycle. Obtaining a DUNS number is a free, one-time activity. Call Dun and Bradstreet at 866–705–5711 to obtain a DUNS number or apply online at [www.dnb.com](http://www.dnb.com). A DUNS number is usually received within 1–2 business days.

2. **Acquire registration with the System for Award Management (SAM).** SAM is the repository for standard information about federal financial assistance applicants, recipients, and subrecipients. OJP requires that all applicants (other than individuals) for federal financial assistance maintain current registrations in the SAM database. Applicants must **update or renew their SAM registration annually** to maintain an active status. Information about SAM registration procedures can be accessed at [www.sam.gov](http://www.sam.gov).
3. **Acquire a GMS username and password.** New users must create a GMS profile by selecting the “First Time User” link under the sign-in box of the [GMS](#) home page. For more information on how to register in GMS, go to [www.ojp.usdoj.gov/gmscbt/](http://www.ojp.usdoj.gov/gmscbt/).
4. **Verify the SAM (formerly CCR) registration in GMS.** OJP requests that all applicants verify their SAM registration in GMS. Once logged into GMS, click the “CCR Claim” link on the left side of the default screen. Click the “Submit” button to verify the SAM (formerly CCR) registration.
5. **Search for the funding opportunity on GMS.** After logging into GMS or completing the GMS profile for username and password, go to the “Funding Opportunities” link on the left side of the page. Select “Office for Victims of Crime” and “FY 2014 VOCA Victim Compensation Formula.”
6. **Register by selecting the “Apply Online” button associated with the funding opportunity title.** The search results from step 5 will display the funding opportunity title along with the registration and application deadlines for this funding opportunity. Select the “Apply Online” button in the “Action” column to register for this funding opportunity and create an application in the system.
7. **Complete the Disclosure of Lobbying Activities, if applicable.** Any applicant that expends any funds for lobbying activities must provide the detailed information requested on the form, *Disclosure of Lobbying Activities* ([SF-LLL](#)).
8. **Follow the directions in GMS to submit an application consistent with this solicitation.** Once submitted, GMS will display a confirmation screen stating the submission was successful. **Important:** In some instances, applicants must wait for GMS approval before submitting an application. OJP urges applicants to submit the application **at least 72 hours prior** to the application due date.

**Note: Duplicate Applications**

If an applicant submits multiple versions of an application, OVC will review only the most recent valid version submitted.

**Experiencing Unforeseen GMS Technical Issues**

Applicants that experience unforeseen GMS technical issues beyond their control that prevent them from submitting their application by the deadline must contact their designated OVC victim justice program specialist **within 24 hours after the application deadline** and request approval to submit their application. The e-mail must describe the technical difficulties and include a timeline of the applicant’s submission efforts, the complete grant application, the applicant’s DUNS number, and any GMS Help Desk or SAM tracking number(s). **Note: OVC does not approve requests automatically.** After the program office reviews the submission

and contacts the GMS Help Desk to validate the reported technical issues, OVC will inform the applicant whether the request to submit a late application has been approved or denied. If OVC determines that the applicant failed to follow all required procedures, which resulted in an untimely application submission, OVC will deny the applicant's request to submit their application.

The following conditions are generally insufficient to justify late submissions:

- Failure to register in SAM or GMS in sufficient time.
- Failure to follow GMS instructions on how to register and apply as posted on the GMS Web site.
- Failure to follow each instruction in the OVC solicitation.
- Technical issues with the applicant's computer or information technology environment, including firewalls.

**Notifications regarding known technical problems with GMS, if any, are posted at the top of the OJP funding Web page at [www.ojp.usdoj.gov/funding/solicitations.htm](http://www.ojp.usdoj.gov/funding/solicitations.htm).**

## **Provide Feedback to OJP**

To assist OJP in improving its application and award processes, we encourage applicants to provide feedback on this solicitation, the application submission process, and/or the application review/peer review process. Provide feedback to [OJPSolicitationFeedback@usdoj.gov](mailto:OJPSolicitationFeedback@usdoj.gov).

**IMPORTANT:** This e-mail is for feedback and suggestions only. Replies are **not** sent from this mailbox. If you have specific questions on any program or technical aspect of the solicitation, **you must** directly contact the appropriate number or e-mail listed on the front of this solicitation document. These contacts are provided to help ensure that you can directly reach an individual who can address your specific questions in a timely manner.

If you are interested in being a reviewer for other OJP grant applications, please e-mail your resume to [ojppeerreview@lmbps.com](mailto:ojppeerreview@lmbps.com). The OJP Solicitation Feedback e-mail account will not forward your resume. **Note:** Neither you nor anyone else from your organization can be a peer reviewer in a competition in which you or your organization have submitted an application.

# Application Checklist

## OVC FY 2014 VOCA Victim Compensation Formula

This application checklist was created to assist in developing an application.

### What an Applicant Should Do:

#### *Prior to Registering in GMS:*

\_\_\_\_\_ Acquire a DUNS Number (see page 8)

\_\_\_\_\_ Acquire or renew registration with SAM (see page 9)

#### *To Register With GMS:*

\_\_\_\_\_ For new users, acquire a GMS username and password\* (see page 9)

\_\_\_\_\_ For existing users, check GMS username and password\*  
to ensure account access (see page 9)

\_\_\_\_\_ Verify SAM registration in GMS (see page 9)

\_\_\_\_\_ Search for correct funding opportunity in GMS (see page 9)

\_\_\_\_\_ Select correct funding opportunity in GMS:  
OVC FY 2014 VOCA Victim Compensation Formula (see page 9)

\_\_\_\_\_ Register by selecting the “Apply Online” button associated with  
the funding opportunity title (see page 9)

\*Password Reset Notice—GMS users are reminded that while password reset capabilities exist, this function is only associated with points of contacts designated within GMS at the time the account was established. Neither OJP nor the GMS Help Desk will initiate a password reset unless requested by the authorized official or a designated point of contact associated with an award or application.

### General Requirements:

\_\_\_\_\_ Review [Other Requirements](#) Web page

### Scope Requirement:

\_\_\_\_\_ The federal amount requested is consistent with the FY 2014 VOCA Victim Compensation allocation.

**Eligibility Requirement:** States, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, and any other possession or territory of the United States that has established an eligible crime victim compensation program and that meets the eligibility requirements specified in VOCA, 42 U.S.C. 10602 (b) are eligible to apply.

### What an Application Should Include:

\_\_\_\_\_ Application for Federal Assistance (SF-424) (see page 5)

\_\_\_\_\_ A Statement Regarding the Use of Administrative and Training Funds (see page 5)

\_\_\_\_\_ Certification of State Eligibility Requirements Statement (see page 5)

\_\_\_\_\_ State Statute Covering the Crime Victim Compensation Program (see page 5)

\_\_\_\_\_ Disclosure of Lobbying Activities (SF-LLL) (see page 9)

\_\_\_\_\_ Accounting System and Financial Capability Questionnaire (if applicable) (see page 5)

\_\_\_\_\_ Applicant Disclosure of High-Risk Status (see page 5)

\_\_\_\_\_ Applicant Disclosure of Pending Applications (see page 6)