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The [U.S. Department of Justice](#) (DOJ), [Office of Justice Programs](#) (OJP), [Office for Victims of Crime](#) (OVC) is seeking applications for funding under the Vision 21: Linking Systems of Care for Children and Youth Technical Assistance Project. This project furthers the Department's mission by dedicating training and technical assistance resources to develop coordinated responses to effectively identify and assess child and youth victims and provide comprehensive services.

## **FY 2014 Vision 21: Linking Systems of Care for Children and Youth Technical Assistance Project**

### **Eligibility**

Applicants are limited to state agencies, public agencies, federally recognized tribal governments (as determined by the Secretary of the Interior), nonprofit and for-profit organizations (including tribal nonprofit and for-profit organizations), and institutions of higher education (including tribal institutions of higher education). For-profit organizations must agree to forgo any profit or management fees. (See Eligibility, page 4.)

OVC may elect to make awards for applications submitted under this solicitation in future fiscal years, dependent on the merit of the applications and on the availability of appropriations.

### **Deadline**

Applicants must register with [Grants.gov](#) prior to submitting an application. (See "How to Apply," page 20.) All applications are due by 11:59 p.m. eastern time on May 21, 2014. (See "Deadlines: Registration and Application," page 4.)

All applicants are encouraged to read this [Important Notice: Applying for Grants in Grants.gov](#).

### **Contact Information**

For technical assistance with submitting an application, contact the Grants.gov Customer Support Hotline at 800-518-4726 or 606-545-5035, or via e-mail to [support@grants.gov](mailto:support@grants.gov). The [Grants.gov](#) Support Hotline hours of operation are 24 hours a day, 7 days a week, except federal holidays.

Applicants that experience unforeseen Grants.gov technical issues beyond their control that prevent them from submitting their application by the deadline must e-mail the OVC contact

identified below **within 24 hours after the application deadline** and request approval to submit their application.

For assistance with any other requirements of this solicitation, contact Sharron Fletcher, Lead Victim Justice Program Specialist, by telephone at 202-305-2358 or by e-mail at [Sharron.Fletcher@usdoj.gov](mailto:Sharron.Fletcher@usdoj.gov).

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# FY 2014 Vision 21: Linking Systems of Care for Children and Youth Technical Assistance Project (CFDA #16.582)

## Overview

This solicitation will provide training and technical assistance resources to support state-level demonstration projects to address the enduring issue of child and youth victimization. Victimization, left unaddressed, can have serious, long-lasting consequences for children's physical and mental health. Research demonstrates that trauma left untreated may manifest into a range of physical, emotional, and behavioral problems. We pay for child and youth victimization in many ways: health and mental health care, child welfare, special education, juvenile and criminal justice, and losses in productivity over the individual's lifespan. Although many systems exist to respond to these various issues, these systems often fail to communicate and collaborate effectively to get to the root of the problem. Through this solicitation, OVC is seeking a training and technical assistance provider to support state-level demonstration sites in working to establish coordinated approaches to identify, assess, and serve child and youth victims. This approach will ensure every child entering these systems is assessed for victimization; that children and their families are provided comprehensive and coordinated services to fully address their needs; and that practices and policies are established to sustain this approach long term. This project is funded through 42 U.S.C. § 10603(c)(1)(A).

## Deadlines: Registration and Application

Applicants must register with Grants.gov prior to submitting an application. OJP encourages applicants to **register several weeks before** the application submission deadline. In addition, OJP urges applicants to submit applications 72 hours prior to the application due date. The deadline to apply for funding under this announcement is **11:59 p.m. eastern time on May 21, 2014**. See "How to Apply" on page 20 for details.

## Eligibility

Applicants are limited to state agencies, public agencies, and federally recognized tribal governments (as determined by the Secretary of the Interior), nonprofit and for-profit organizations (including tribal nonprofit and for-profit organizations), and institutions of higher education (including tribal institutions of higher education). For-profit organizations must agree to forgo any profit or management fees.

## Project-Specific Information

### Purpose

In May 2013, OVC published *Vision 21: Transforming Victim Services Final Report* (Vision 21), a framework for strategic change in the victim services field. The goal of Vision 21 is to change the way we respond to crime victims in this Nation, through a comprehensive and systemic approach, to ensure that every victim of crime receives the best responses and services. Vision 21 outlined recommendations in four broad categories: (1) Conduct continuous rather than

episodic strategic planning; (2) Support the development of research; (3) Ensure the statutory, policy and programmatic flexibility to address enduring and emerging crime victim issues; and (4) Build and institutionalize capacity through an infusion of technology, training, and innovation to ensure that the field is equipped to meet the demands of the 21<sup>st</sup> century.

Similarly, in December 2012, the Attorney General's National Task Force on Children Exposed to Violence (Task Force), a group comprising 13 leading experts includes practitioners, child and family advocates, academic experts, and licensed clinicians, released its final report and recommendations. The report serves as a blueprint for preventing children's exposure to violence and reducing the negative effects experienced by children exposed to violence across the United States. Recommendations included in the Task Force report and in Vision 21 are similar in that both reports underscore the importance of collaborative and comprehensive responses and services to crime victims.

In support of the recommendations from both reports that seek to address the enduring issue of child and youth victimization, OVC is issuing the Fiscal Year (FY) 2014 Vision 21: Linking Systems of Care for Child and Youth State Demonstration Project solicitation. Through this solicitation, OVC will competitively select two state-level demonstration projects to bring together all of the relevant systems and professionals to provide early identification, intervention, and treatment for child and youth victims and their families and caregivers. While each state may approach these issues somewhat differently and may engage unique partners, OVC expects, at a minimum, the following systems to be active participants: representatives of state government, victim services, law enforcement, health services (physical, mental, and behavioral), juvenile justice, courts, educators, and other state, tribal, and local entities. One example of a collaborative approach would be to develop a universal victimization screening and requisite response/treatment protocol to screen for multiple types of victimization across systems (no matter if the child/youth presents as a victim, witness, or offender) and put into place the necessary services that will get to the root of the child's or youth's trauma.

The project will be conducted in two phases—Phase 1: Planning (15 months) and Phase 2: Implementation (up to 5 years)—and funded incrementally in 15-month periods. During the Phase 1 planning process, the demonstration sites will work with a network of stakeholders to review and analyze the existing policies and protocols of the partnering agencies to identify strengths and gaps in service. These findings will inform the development of a plan to provide screening and services for child and youth victims across systems. OVC anticipates the inclusion of innovative, evidence-based methods to accomplish this task and address service gaps, including a universal screening and response protocol. A training and technical assistance (TTA) provider will work extensively with participating states throughout Phase 1 to assist with establishing the network, designing and implementing the gap analysis/needs assessment, and developing the strategy.

In Phase 2, participating states will implement the strategy. The TTA provider will assist with strategy implementation during this phase as needed. OVC may allow use of project funds to fill specific service gaps on a temporary basis until the strategy is implemented fully. Refinements will be made to the strategy and its implementation throughout this phase to ensure the methods employed are as successful as possible. Additional TTA funding for Phase 2, provided in 15-month periods, will be based on funding availability, grantee performance, and the continuing TTA needs of the demonstration sites.

Please note that OVC will transfer funding to the National Institute of Justice (NIJ) to support an evaluation of the demonstration projects that will take place concurrently with the project. This evaluation is distinct from and guided in part by the needs assessment. Participating states, their network partners, and the TTA provider are expected to participate fully in this evaluation.

The purpose of this solicitation, released concurrently with the demonstration site solicitation, is to identify and select a TTA provider. The TTA provider chosen for this project will work cooperatively with OVC to assist the participating state demonstration sites in establishing a network of stakeholders and in the planning, development, and implementation of a strategy to identify, intervene, and treat child and youth victims, their families, and caregivers.

### **Goals, Objectives, and Deliverables**

The goal of the FY 2014 Vision 21: Linking Systems of Care for Children and Youth Technical Assistance Project is to provide TTA to improve state responses to child and youth victims, their families, and caregivers. This solicitation is for the Phase 1 planning process, which aims to accomplish the following objectives:

- Assist the demonstration sites in developing a comprehensive network of stakeholders who, through multidisciplinary partnerships, balanced approaches, and data-driven strategies, strengthen participating states' ability to identify, intervene, and provide effective services to child and youth victims—in a coordinated manner, no matter the system they enter.
- Provide participating states with tools, resources, and innovative assistance to engage multidisciplinary partners to conduct a statewide needs assessment.
- Work with participating states to develop an implementation strategy based on the gap analysis/needs assessment. The strategy must include a systematic method to screen for victimization across systems/entities; establish protocols and procedures to ensure children and families receive appropriate services; outline plans to address service gaps and deliver staff training statewide; and contain plans to sustain these approaches beyond the grant period.
- Develop materials that can assist other states in replication of these efforts (e.g., lessons learned, checklists, sample protocols).

OVC anticipates the grantee will complete the following activities and tasks to meet the objectives:

- Provide diverse subject matter expertise and innovative assistance to state demonstration sites to ensure the project goals and objectives are achieved.
- Provide tools and practical techniques for working across disciplines and systems to facilitate information sharing, communication, and coordination of efforts.
- Work with the sites to engage partners and to develop and conduct a needs assessment/gap analysis.
- Work with the sites to develop an implementation strategy based on the outcomes of the gap analysis/needs assessment.
- Identify and provide training and technical assistance on culturally competent, balanced approaches and data-driven strategies throughout the project.
- Facilitate peer-to-peer consultation and networking among participating states, as well as within a given state, to promote problem solving and innovation through the exchange of information and ideas.
- Identify relevant information and lessons learned throughout the project. Work with OVC to determine how to develop materials to communicate these findings to the larger field.

Technical assistance will also involve ongoing phone and e-mail consultations, webinars, and other distance/online learning technologies. The TTA provider will work in partnership with OVC and the demonstration sites to accomplish the goals, objectives, and activities of the project.

Applicants must clearly demonstrate their knowledge and experience in victim services (including victim compensation), child and youth victimization, child welfare, juvenile justice, law enforcement, mental health, and other key components identified by the applicant. Applicants must:

- Identify a project lead, and other expert consultants to use when needed, to provide ongoing support for the development and implementation of strategic plans that utilize multidisciplinary partnerships, balanced approaches, and data-driven strategies to help the selected states plan, develop, and implement their strategies.
- Provide technical support, in consultation with OVC, to improve the state's response to youth victimization, including identifying and meeting identified needs; develop and implement policies and practices across systems; and apply evidence-based approaches.
- Work collaboratively with OVC and the selected states to develop meeting agendas, identify faculty and/or consultants, and develop and provide meeting materials.
- Perform all logistics to support training and technical assistance activities and events.
- Develop and deliver issue-based training on topics of interest or concern across or within the participating states in collaboration with OVC.
- Maintain regular communication with OVC and project leads from the participating states on ongoing project issues, developments, and activities.
- Provide OVC with regular updates on progress of participating states' efforts to plan, develop, and implement their strategies.

OVC will give special consideration to applicants that have experience either conducting or providing TTA to comprehensive, multi-system planning efforts to respond to child and youth issues using multidisciplinary partnerships, balanced approaches, and data-driven strategies, and that demonstrate a desire to expand their efforts to strengthen the response to child and youth victims across systems.

OVC will enter into a cooperative agreement with the successful applicant to ensure involvement in key decisions and direction of the project. In furtherance of the goals and objectives described above, OVC's role will include the following:

- Reviewing and approving major plans, including changes to such plans, and key decisions pertaining to project operations.
- Reviewing and approving major project-generated documents (i.e., gap analysis/needs assessment tool and overall plan to assess needs).
- Providing guidance on significant project plans and participating in project-related training events or meetings.
- Selecting states to participate in the demonstration project.

### **Evidence-Based Programs or Practices**

OJP strongly emphasizes the use of data and evidence in policymaking and program development in criminal justice, juvenile justice, and crime victim services. OJP is committed to:

- improving the quantity and quality of evidence OJP generates;

- integrating evidence into program, practice, and policy decisions within OJP and the field; and
- improving the translation of evidence into practice.

OJP considers programs and practices to be evidence-based when their effectiveness has been demonstrated by causal evidence, generally obtained through one or more outcome evaluations. Causal evidence documents a relationship between an activity or intervention (including technology) and its intended outcome, including measuring the direction and size of a change, and the extent to which a change may be attributed to the activity or intervention. Causal evidence depends on the use of scientific methods to rule out, to the extent possible, alternative explanations for the documented change. The strength of causal evidence, based on the factors described above, will influence the degree to which OJP considers a program or practice to be evidence-based. OJP's [CrimeSolutions.gov](http://CrimeSolutions.gov) Web site is one resource that applicants may use to find information about evidence-based programs in criminal justice, juvenile justice, and crime victim services.

### **Amount and Length of Awards**

OVC anticipates that it will make one award of up to \$500,000 for a 15-month period to provide TTA support during Phase 1.

All awards are subject to the availability of appropriated funds and to any modifications or additional requirements that may be imposed by law.

### **Budget Information**

#### **Limitation on Use of Award Funds for Employee Compensation; Waiver**

With respect to any award of more than \$250,000 made under this solicitation, recipients may not use federal funds to pay total cash compensation (salary plus cash bonuses) to any employee of the award recipient at a rate that exceeds 110% of the maximum annual salary payable to a member of the Federal Government's Senior Executive Service (SES) at an agency with a Certified SES Performance Appraisal System for that year. The 2014 salary table for SES employees is available at [www.opm.gov/salary-tables](http://www.opm.gov/salary-tables). Note: A recipient may compensate an employee at a greater rate, provided the amount in excess of this compensation limitation is paid with non-federal funds. (Any such additional compensation will not be considered matching funds where match requirements apply.)

The Assistant Attorney General for OJP may exercise discretion to waive, on an individual basis, the limitation on compensation rates allowable under an award. An applicant requesting a waiver should include a detailed justification in the budget narrative of the application. Unless the applicant submits a waiver request and justification with the application, the applicant should anticipate that OJP will request the applicant to adjust and resubmit the budget.

The justification should include the particular qualifications and expertise of the individual, the uniqueness of the service the individual will provide, the individual's specific knowledge of the program or project being undertaken with award funds, and a statement explaining that the individual's salary is commensurate with the regular and customary rate for an individual with his/her qualifications and expertise, and for the work to be done.



### **Prior Approval, Planning, and Reporting of Conference/Meeting/Training Costs**

OJP strongly encourages applicants that propose to use award funds for any conference-, meeting-, or training-related activity to review carefully—before submitting an application—the OJP policy and guidance on “conference” approval, planning, and reporting available at [www.ojp.gov/funding/confcost.htm](http://www.ojp.gov/funding/confcost.htm). OJP policy and guidance (1) encourage minimization of conference, meeting, and training costs; (2) require prior written approval (which may affect project timelines) of most such costs for cooperative agreement recipients and of some such costs for grant recipients; and (3) set cost limits, including a general prohibition of all food and beverage costs.

### **Costs Associated with Language Assistance**

If an applicant proposes a program or activity that would deliver services or benefits to individuals, the costs of taking reasonable steps to provide meaningful access to those services or benefits for individuals with limited English proficiency may be allowable. Reasonable steps to provide meaningful access to services or benefits may include interpretation or translation services where appropriate.

For additional information, see the "Civil Rights Compliance" section of the OJP "Other Requirements for OJP Applications" Web page at [www.ojp.usdoj.gov/funding/other\\_requirements.htm](http://www.ojp.usdoj.gov/funding/other_requirements.htm).

### **Match Requirement**

This solicitation does not require a match. However, if a successful application proposes a voluntary match amount, and OJP approves the budget, the total match amount incorporated into the approved budget becomes mandatory and subject to audit.

### **Performance Measures**

To assist the Department with fulfilling its responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111-352, applicants that receive funding under this solicitation must provide data that measure the results of their work done under this solicitation. OJP will require any award recipient, post award, to provide the data requested in the “Data Grantee Provides” column so that OJP can calculate values for the “Performance Measures” column. Performance measures for this solicitation are as follows:

<b>Objective</b>	<b>Performance Measure(s)</b>	<b>Data Grantee Provides</b>
To provide TTA to improve state responses to child and youth victims and their families and caregivers by providing consistent, coordinated responses that address the presenting issues and address the full range of victim needs.	Number of organizations to which technical assistance is provided.	Number of organizations to which technical assistance is provided during the current reporting period.

Provide demonstration sites with tools, resources, and innovative assistance to engage stakeholders and conduct a statewide gap analysis/needs assessment.	Number of tools developed/used to facilitate state network gap analysis/needs assessments.	Number of tools developed/used to facilitate information sharing, communication, and coordination of efforts, and gap analysis/needs assessment.
	Number of training events and activities conducted.	Number of training events, by type, conducted during reporting period.
		Documentation of results of training events conducted.
	Number of completed statewide gap analysis/needs assessments.	Documentation of results of completed statewide gap analysis/needs assessments.
Work with participating states to develop an implementation plan.	Number of implementation plans completed.	Number of implementation plans completed to implement Phase 2.
	Number of organizations implicated by protocols and procedures.	Number and type of organizations involved in coordinated plan for services.
	Number of organizations committed to victimization screening tool.	Number of organizations involved in identification of victims.
Develop materials that can assist other states in replication of these efforts.	Number of program materials developed.	Number and type of products created.

OJP does not require applicants to submit performance measures data with their applications. Instead, applicants should discuss in their application their proposed methods for collecting data for performance measures. Refer to the section “What an Application Should Include” on page 11 for additional information.

**Note on Project Evaluations**

Applicants that propose to use funds awarded through this solicitation to conduct project evaluations should be aware that certain project evaluations (such as systematic investigations designed to develop or contribute to generalizable knowledge) may constitute “research” for purposes of applicable DOJ human subject’s protection regulations. However, project evaluations that are intended only to generate internal improvements to a program or service, or are conducted only to meet OJP’s performance measure data reporting requirements likely do not constitute “research.” Applicants should provide sufficient information for OJP to determine whether the particular project they propose would either intentionally or unintentionally collect and/or use information in such a way that it meets the DOJ regulatory definition of research.

Research, for the purposes of human subjects protections for OJP-funded programs, is defined as, “a systematic investigation, including research development, testing, and evaluation, designed to develop or contribute to generalizable knowledge,” 28 C.F.R. § 46.102(d). For additional information on determining whether a proposed activity would constitute research,

see the decision tree to assist applicants on the “Research and the Protection of Human Subjects” section of the OJP “Other Requirements for OJP Applications” Web page ([www.ojp.usdoj.gov/funding/other\\_requirements.htm](http://www.ojp.usdoj.gov/funding/other_requirements.htm)). Applicants whose proposals may involve a research or statistical component also should review the “Confidentiality” section on that Web page.

## What an Application Should Include

Applicants should anticipate that if they fail to submit an application that contains all of the specified elements, it may negatively affect the review of their application; and, should a decision be made to make an award, it may result in the inclusion of special conditions that preclude the recipient from accessing or using award funds pending satisfaction of the conditions.

Moreover, applicants should anticipate that applications that are determined to be nonresponsive to the scope of the solicitation, or that do not include the application elements that OVC has designated to be critical, will neither proceed to peer review nor receive further consideration. Under this solicitation, OVC has designated the following application elements as critical: Program Narrative, Budget Detail Worksheet, and Budget Narrative. Applicants may combine the Budget Narrative and the Budget Detail Worksheet in one document. However, if an applicant submits only one budget document, it must contain **both** narrative and detail information.

OJP strongly recommends that applicants use appropriately descriptive file names (e.g., “Program Narrative,” “Budget Detail Worksheet and Budget Narrative,” “Timelines,” “Memoranda of Understanding,” “Resumes”) for all attachments. Also, OJP recommends that applicants include resumes in a single file.

### 1. Information to Complete the Application for Federal Assistance (SF-424)

The SF-424 is a required standard form used as a cover sheet for submission of pre-applications, applications, and related information. Grants.gov and OJP’s Grants Management System (GMS) take information from the applicant’s profile to populate the fields on this form. When selecting “type of applicant,” if the applicant is a for-profit entity, select “For-Profit Organization” or “Small Business” (as applicable).

### 2. Project Abstract

Applications should include a high-quality project abstract that summarizes the proposed project in 400 words or less. Project abstracts should be—

- written for a general public audience.
- submitted as a separate attachment with “Project Abstract” as part of its file name.
- single-spaced, using a standard 12-point font (Times New Roman) with 1-inch margins.

As a separate attachment, the project abstract will **not** count against the page limit for the program narrative.

All project abstracts should follow the detailed template available at [www.ojp.usdoj.gov/funding/Project\\_Abstract\\_Template.pdf](http://www.ojp.usdoj.gov/funding/Project_Abstract_Template.pdf).

**Permission to Share Project Abstract with the Public:** It is unlikely that OVC will be able to fund all promising applications submitted under this solicitation, but it may have the opportunity to share information with the public regarding promising but unfunded applications, for example, through a listing on a Web page available to the public. The intent of this public posting would be to allow other possible funders to become aware of such proposals.

In the project abstract template, applicants are asked to indicate whether they give OJP permission to share their project abstract (including contact information) with the public. Granting (or failing to grant) this permission will not affect OJP's funding decisions, and, if the application is not funded, granting permission will not guarantee that abstract information will be shared, nor will it guarantee funding from any other source.

**Note:** OJP may choose not to list a project that otherwise would have been included in a listing of promising but unfunded applications, should the abstract fail to meet the format and content requirements noted above and outlined in the project abstract template.

### **3. Program Narrative**

The Program Narrative must include six separate sections: Project Abstract, Problem Statement, Goals and Objectives, Project Design and Implementation Plan, Capabilities and Competencies, and Plans for Measuring Progress and Outcomes. The connections between and among each of these sections must be clearly delineated. For example, the goals and objectives must derive directly from the problems to be addressed. Similarly, the project design section must clearly explain how the program's structure and activities will accomplish the goals and objectives identified in the previous section. The following sections should be included as part of the program narrative.

#### **a. Problem Statement**

Applicants must briefly describe the need for the training and technical assistance for the participating state demonstration project sites that are developing strategies to address youth victimization. The applicant should use data to provide evidence that the need exists and demonstrate the size and scope of the need. Applicants should describe any previous or current attempts to address the problem as well as any related research or evaluation studies that contribute to the applicant's understanding of its causes and potential solutions.

Applicants should describe any previous or current experience with implementing training and technical assistance to establish state-level responses to youth victimization. Applicants should describe any current publications they developed on issues related to youth victimization.

#### **b. Goals and Objectives**

Project goals should state the overall purpose of what will be accomplished. The objectives should be measurable, relate directly to the issues described in the problem statement, and describe the steps necessary to reach the goals or how the goals will be accomplished.

#### **c. Project Design and Implementation Plan**

The project design and implementation plan must describe how the training and technical assistance project will operate throughout the funding period and describe the

strategies that will be used to achieve the goals and objectives identified in the previous section. Applicants should describe how they will complete the project strategy and discuss how the strategy will address the identified problems in support of the goals and objectives.

The project design and implementation section must include a time-task plan that indicates major tasks associated with the goals and objectives of the project, assigns responsibility for each, and plots completion of each task. In preparing the time-task plan, Gant chart, or schedule, applicants should make certain that all project activities will occur within the proposed project period. Applicants are not expected to provide a detailed strategy or budget for the subsequent phases of the project. However, in the program narrative, applicants should outline a projected plan for carrying out the continuation phases of the project.

Applicants should submit the timeline as a separate attachment, as stipulated in “Additional Attachments,” page 15. On receipt of award, the recipient may revise the timeline based on specific guidance provided by OVC (identification of selected states).

Applicants must include a logic model that graphically illustrates how the project’s problems, goals, objectives, and design are interrelated, leading to anticipated outputs, performance measures, and outcomes. Sample logic models are available at [www.ojjdp.gov/grantees/pm/logic\\_models.html](http://www.ojjdp.gov/grantees/pm/logic_models.html). The applicant must submit the logic model as a separate attachment, as stipulated in “Additional Attachments,” page 15.

**d. Capabilities and Competencies**

Applicants should describe the roles and responsibilities of project staff, including identification of a lead consultant/project lead, and explain the program’s organizational structure and operations. Management and staffing patterns should be clearly connected to the project design described in the previous section. This section should describe the experience and capability of the applicant’s organization and any contractors that the applicant will use to implement and manage this effort and its associated federal funding, highlighting any previous experience implementing projects of similar design or magnitude. Resumes for key staff identified must be submitted as an attachment to the application.

**e. Plan for Measuring Progress and Outcomes**

OVC does not require applicants to submit performance measures data with their application. Performance measures are included as an alert that OVC will require successful applicants to submit specific data as part of their reporting requirements. For the application, applicants should indicate an understanding of these requirements and discuss how they will gather the required data to monitor project outcomes should they receive funding.

**4. Budget Detail Worksheet and Budget Narrative**

**a. Budget Detail Worksheet**

A sample Budget Detail Worksheet can be found at [www.ojp.gov/funding/forms/budget\\_detail.pdf](http://www.ojp.gov/funding/forms/budget_detail.pdf). Applicants that submit their budget in a different format should include the budget categories listed in the sample budget worksheet.

For questions pertaining to budget and examples of allowable and unallowable costs, see the OJP Financial Guide at [www.ojp.usdoj.gov/financialguide/index.htm](http://www.ojp.usdoj.gov/financialguide/index.htm).

- 1) Funds for personnel and fringe benefits may be budgeted to support a lead consultant/project lead.
- 2) Travel for training: Applicants should plan to attend an annual OVC discretionary grantee meeting in Washington, D.C., and, with the exception of local grantees, should include line items detailing all estimated travel expenses associated with attending this meeting. Applicants should budget for a 2-day meeting. Applicants that receive annual funding of more than \$100,000 should also budget costs to attend one Financial Management Training Seminar sponsored by OJP's Office of the Chief Financial Officer (OCFO), unless the grantee has previously attended this seminar. Specific information (such as dates and locations of upcoming OCFO events) can be found at [www.ojp.usdoj.gov/training/fmts.htm](http://www.ojp.usdoj.gov/training/fmts.htm). In addition, the OCFO offers an online Financial Management Training course to all grantees. To access the online Financial Management Training course, please go to the OJP Training and Technical Assistance Web site at <http://qfm.webfirst.com/>.
- 3) Consultant rates: Consultant rates may not exceed the maximum of \$450/day or, if paid by the hour, \$56.25/hour for a maximum 8-hour work day.
- 4) Consultant travel: Travel costs associated with project staff who are not directly employed by the grantee organization must be listed under the Consultant category on the budget information sheet.

**b. Budget Narrative**

The budget narrative should thoroughly and clearly describe every category of expense listed in the budget detail worksheet. OJP expects proposed budgets to be complete, cost effective, and allowable (e.g., reasonable, allocable, and necessary for project activities).

Applicants should demonstrate in their budget narratives how they will maximize cost effectiveness of grant expenditures. Budget narratives should generally describe cost effectiveness in relation to potential alternatives and the goals of the project. For example, a budget narrative should detail why planned in-person meetings are necessary, or how technology and collaboration with outside organizations could be used to reduce costs without compromising quality.

The narrative should be mathematically sound and correspond with the information and figures provided in the budget detail worksheet. The narrative should explain how the applicant estimated and calculated all costs, and how they are relevant to the completion of the proposed project. The narrative may include tables for clarification purposes but need not be in a spreadsheet format. As with the budget detail worksheet, the budget narrative should be broken down by year.

**c. Non-Competitive Procurement Contracts in Excess of Simplified Acquisition Threshold**

If an applicant proposes to make one or more non-competitive procurements of products or services, where the non-competitive procurement will exceed the simplified

acquisition threshold (also known as the small purchase threshold), which is currently set at \$150,000, the application should address the considerations outlined in the [OJP Financial Guide](#).

## **5. Indirect Cost Rate Agreement**

Indirect costs are allowed only if the applicant has a federally approved indirect cost rate. (This requirement does not apply to units of local government.) Attach a copy of the federally approved indirect cost rate agreement to the application. Applicants that do not have an approved rate may request one through their cognizant federal agency, which will review all documentation and approve a rate for the applicant organization, or, if the applicant's accounting system permits, costs may be allocated in the direct cost categories. For assistance with identifying your cognizant agency, please contact the Customer Service Center at 1-800-458-0786 or at [ask.ocfo@usdoj.gov](mailto:ask.ocfo@usdoj.gov). If DOJ is the cognizant federal agency, applicants may obtain information needed to submit an indirect cost rate proposal at [www.ojp.usdoj.gov/funding/pdfs/indirect\\_costs.pdf](http://www.ojp.usdoj.gov/funding/pdfs/indirect_costs.pdf).

## **6. Tribal Authorizing Resolution**

Tribes, tribal organizations, or third parties proposing to provide direct services or assistance to residents on tribal lands should include in their applications a resolution, a letter, affidavit, or other documentation, as appropriate, that certifies that the applicant has the legal authority from the tribe(s) to implement the proposed project on tribal lands. In those instances when an organization or consortium of tribes applies for a grant on behalf of a tribe or multiple specific tribes, the application should include appropriate legal documentation, as described above, from all tribes that would receive services or assistance under the grant. A consortium of tribes for which existing consortium bylaws allow action without support from all tribes in the consortium (i.e., without an authorizing resolution or comparable legal documentation from each tribal governing body) may submit, instead, a copy of its consortium bylaws with the application.

Applicants unable to submit an application that includes a fully executed (i.e., signed) copy of appropriate legal documentation, as described above, consistent with the applicable tribe's governance structure, should, at a minimum, submit an unsigned, draft version of such legal documentation as part of its application (except for cases in which, with respect to a tribal consortium applicant, consortium bylaws allow action without the support of all consortium member tribes). If selected for funding, OVC will make use of and access to funds contingent on receipt of the fully executed legal documentation.

## **7. Additional Attachments**

### **a. Applicant disclosure of pending applications**

Applicants are to disclose whether they have pending applications for federally funded grants or subgrants (including cooperative agreements) that include requests for funding to support the same project being proposed under this solicitation and will cover the identical cost items outlined in the budget narrative and worksheet in the application under this solicitation. The disclosure should include both direct applications for federal funding (e.g., applications to federal agencies) and indirect applications for such funding (e.g., applications to state agencies that will subaward federal funds).

OJP seeks this information to help avoid any inappropriate duplication of funding. Leveraging multiple funding sources in a complementary manner to implement

comprehensive programs or projects is encouraged and is not seen as inappropriate duplication.

Applicants that have pending applications as described above are to provide the following information about pending applications submitted within the last 12 months:

- The federal or state funding agency.
- The solicitation name/project name.
- The point of contact information at the applicable funding agency.

Federal or State Funding Agency	Solicitation Name/ Project Name	Name/Phone/E-mail for Point of Contact at Funding Agency
DOJ/COPS	COPS Hiring Program	Jane Doe, 202/000-0000, jane.doe@usdoj.gov
HHS/Substance Abuse & Mental Health Services Administration	Drug Free Communities Mentoring Program/ North County Youth Mentoring Program	John Doe, 202/000-0000, john.doe@hhs.gov

Applicants should include the table as a separate attachment, with the file name “Disclosure of Pending Applications,” to their application. Applicants that do not have pending applications as described above are to include a statement to this effect in the separate attachment page (e.g., “[Applicant Name on SF-424] does not have pending applications submitted within the last 12 months for federally funded grants or subgrants (including cooperative agreements) that include requests for funding to support the same project being proposed under this solicitation and will cover the identical cost items outlined in the budget narrative and worksheet in the application under this solicitation.”)

**b. Research and Evaluation Independence and Integrity**

If a proposal involves research and/or evaluation, regardless of the proposal’s other merits, in order to receive funds, the applicant must demonstrate research/evaluation independence, including appropriate safeguards to ensure research/evaluation objectivity and integrity.

For purposes of this solicitation, research and evaluation independence and integrity pertains to ensuring that the design, conduct, or reporting of research and evaluation funded by OVC grants, cooperative agreements, or contracts will not be biased by any personal or financial conflict of interest on the part of the investigators responsible for the research and evaluation or on the part of the applicant organization. Conflicts can be either actual or apparent. Examples of potential investigator (or other personal) conflict situations may include those in which an investigator would be in a position to evaluate a spouse’s work product (actual conflict), or an investigator would be in a position to evaluate the work of a former colleague (potential apparent conflict). With regard to potential organizational conflicts of interest, as one example, generally an organization could not be given a grant to evaluate a project if that organization had itself provided substantial prior technical assistance to that project, as the organization in such an instance would appear to be evaluating the effectiveness of its own prior work. The key is whether a reasonable person understanding all of the facts would be able to have



confidence that the results of any research or evaluation project are objective and reliable. Any outside personal or financial interest that casts doubt on that objectivity and reliability is a problem.

In the attachment dealing with research and evaluation independence and integrity, the applicant should explain the process and procedures that the applicant has put in place to identify and eliminate (or, at the very least, mitigate) potential personal or financial conflicts of interest on the part of its staff, consultants, and/or subrecipients. It should also identify any potential organizational conflicts of interest on the part of the applicant with regard to the proposed research/evaluation. If the applicant reasonably believes that no potential personal or organizational conflicts of interest exist, then the applicant should provide a brief narrative explanation of how and why it reached that conclusion. Documentation that may be helpful in this regard could include organizational codes of ethics/conduct or policies regarding organizational, personal, and financial conflicts of interest.

For situations in which potential personal or organizational conflicts of interest exist, in the attachment, the applicant should identify the safeguards the applicant has or will put in place to eliminate, mitigate, or otherwise address those conflicts of interest.

Considerations in assessing research and evaluation independence and integrity will include, but may not be limited to, the adequacy of the applicant's efforts to identify factors that could affect the objectivity or integrity of the proposed staff and/or the organization in carrying out the research, development, or evaluation activity; and the adequacy of the applicant's existing or proposed remedies to control any such factors.

**c. Logic Model**

Please refer to Logic Model requirements included in the Project Design and Implementation Plan section of the Program Narrative on page 12.

**d. Letters of Support/Memorandums of Understanding (MOU)**

If submitting a joint application, as described under Eligibility, page 4, applicants should provide signed and dated letters of support or MOUs for all key partners that include the following:

- An expression of support for the project and its efforts, as well as a statement of willingness to participate and collaborate.
- A description of the partner's current roles and responsibilities in the planning process, and their expected responsibilities.
- A dedication of resources (e.g., staff time and travel) to support the effort.

**e. Resumes of Key Personnel**

Resumes of all key personnel identified in the application must be submitted. OJP recommends that resumes be included in a single file.

**f. Timeline or Milestone Chart**

Please refer to description of time-task plan included in the Project Design and Implementation Plan section of the Program Narrative on page 12.

## 8. Accounting System and Financial Capability Questionnaire

Any applicant (other than an individual) that is a nongovernmental entity and has not received any award from OJP within the past 3 years must download, complete, and submit this [form](#).

## Selection Criteria

1. Program Abstract and Problem Statement (10%)
2. Goals and Objectives and Project Design and Implementation Plan, including Timeline/Milestone Chart and Logic Model (50%)
3. Capabilities and Competencies (20%)
4. Plan for Monitoring Progress and Outcomes (5%)
5. Budget: complete, cost effective, and allowable (e.g., reasonable, allocable, and necessary for project activities). Budget narratives should generally demonstrate how applicants will maximize cost effectiveness of grant expenditures. Budget narratives should demonstrate cost effectiveness in relation to potential alternatives and the goals of the project.<sup>1</sup> (10%)
6. Letters of Commitment/MOUs (5%)

## Review Process

OJP is committed to ensuring a fair and open process for awarding grants. OVC reviews the application to make sure that the information presented is reasonable, understandable, measurable, and achievable, as well as consistent with the solicitation.

Peer reviewers will review the applications submitted under this solicitation that meet basic minimum requirements. OVC may use internal peer reviewers, external peer reviewers, or a combination, to review the applications. An external peer reviewer is an expert in the subject matter of a given solicitation who is NOT a current DOJ employee. An internal reviewer is a current DOJ employee who is well-versed or has expertise in the subject matter of this solicitation. A peer review panel will evaluate, score, and rate applications that meet basic minimum requirements. Peer reviewers' ratings and any resulting recommendations are advisory only. In addition to peer review ratings, considerations for award recommendations and decisions may include, but are not limited to, underserved populations, geographic diversity, strategic priorities, past performance, and available funding.

The OCFO, in consultation with OVC, reviews applications for potential discretionary awards to evaluate the fiscal integrity and financial capability of applicants, examines proposed costs to determine if the budget detail worksheet and budget narrative accurately explain project costs, and determines whether costs are reasonable, necessary, and allowable under applicable federal cost principles and agency regulations.

Absent explicit statutory authorization or written delegation of authority to the contrary, all final award decisions will be made by the Assistant Attorney General, who may consider factors including, but not limited to, underserved populations, geographic diversity, strategic priorities, past performance, and available funding when making awards.

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<sup>1</sup> Generally speaking, a reasonable cost is a cost that, in its nature or amount, does not exceed that which would be incurred by a prudent person under the circumstances prevailing at the time the decision was made to incur the costs.

## Additional Requirements

Applicants selected for awards must agree to comply with additional legal requirements upon acceptance of an award. OJP encourages applicants to review the information pertaining to these additional requirements prior to submitting an application. Additional information for each requirement can be found at [www.ojp.usdoj.gov/funding/other\\_requirements.htm](http://www.ojp.usdoj.gov/funding/other_requirements.htm).

- Civil Rights Compliance
- Civil Rights Compliance Specific to State Administering Agencies
- Faith-Based and Other Community Organizations
- Confidentiality
- Research and the Protection of Human Subjects
- Anti-Lobbying Act
- Financial and Government Audit Requirements
- Reporting of Potential Fraud, Waste, and Abuse, and Similar Misconduct
- National Environmental Policy Act (NEPA)
- DOJ Information Technology Standards (if applicable)
- Single Point of Contact Review
- Non-Supplanting of State or Local Funds
- Criminal Penalty for False Statements
- Compliance with [Office of Justice Programs Financial Guide](#)
- Suspension or Termination of Funding
- Nonprofit Organizations
- For-profit Organizations
- Government Performance and Results Act (GPRA)
- Rights in Intellectual Property
- Federal Funding Accountability and Transparency Act of 2006 (FFATA)
- Awards in Excess of \$5,000,000 – Federal Taxes Certification Requirement

- Active SAM Registration
- Policy and Guidance for Approval, Planning, and Reporting of Conferences (including Meetings and Trainings)
- OJP Training Guiding Principles for Grantees and Subgrantees

## How to Apply

Applicants must register in, and submit applications through Grants.gov, a “one-stop storefront” to find federal funding opportunities and apply for funding. Find complete instructions on how to register and submit an application at [www.Grants.gov](http://www.Grants.gov). Applicants that experience technical difficulties during this process should call the Grants.gov Customer Support Hotline at **800-518-4726** or **606-545-5035**, 24 hours a day, 7 days a week, except federal holidays. Registering with Grants.gov is a one-time process; however, **processing delays may occur, and it can take several weeks** for first-time registrants to receive confirmation and a user password. OJP encourages applicants to **register several weeks before** the application submission deadline. In addition, OJP urges applicants to submit applications 72 hours prior to the application due date to allow time to receive validation messages or rejection notifications from Grants.gov, and to correct in a timely fashion any problems that may have caused a rejection notification.

OVC strongly encourages all prospective applicants to sign up for Grants.gov e-mail notifications regarding this solicitation. If this solicitation is cancelled or modified, individuals who sign up with Grants.gov for updates will be notified.

**Note on File Names and File Types: Grants.gov only permits the use of certain specific characters in names of attachment files. Valid file names may include only the characters shown in the table below. Grants.gov is designed to reject any application that includes an attachment(s) with a file name that contains any characters not shown in the table below. Grants.gov is designed to forward successfully submitted applications to OJP’s Grants Management System (GMS).**

Characters	Special Characters		
Upper case (A – Z)	Parenthesis ( )	Curly braces { }	Square brackets [ ]
Lower case (a – z)	Ampersand (&)	Tilde (~)	Exclamation point (!)
Underscore ( _ )	Comma ( , )	Semicolon ( ; )	Apostrophe ( ‘ )
Hyphen ( - )	At sign ( @ )	Number sign ( # )	Dollar sign ( \$ )
Space	Percent sign ( % )	Plus sign ( + )	Equal sign ( = )
Period ( . )	<b>When using the ampersand (&amp;) in XML, applicants must use the “&amp;amp;” format.</b>		

**GMS does not accept executable file types as application attachments.** These disallowed file types include, but are not limited to, the following extensions: “.com,” “.bat,” “.exe,” “.vbs,” “.cfg,” “.dat,” “.db,” “.dbf,” “.dll,” “.ini,” “.log,” “.ora,” “.sys,” and “.zip.” GMS may reject applications with files that use these extensions. It is important to allow time to change the type of file(s) if the application is rejected.

All applicants are required to complete the following steps:

- 1. Acquire a Data Universal Numbering System (DUNS) number.** In general, the Office of Management and Budget requires that all applicants (other than individuals) for federal funds include a DUNS number in their applications for a new award or a supplement to an existing award. A DUNS number is a unique nine-digit sequence recognized as the universal standard for identifying and differentiating entities receiving federal funds. The identifier is used for tracking purposes and to validate address and point of contact information for federal assistance applicants, recipients, and subrecipients. The DUNS number will be used throughout the grant life cycle. Obtaining a DUNS number is a free, one-time activity. Call Dun and Bradstreet at 866–705–5711 to obtain a DUNS number or apply online at [www.dnb.com](http://www.dnb.com). A DUNS number is usually received within 1-2 business days.
- 2. Acquire registration with the System for Award Management (SAM).** SAM is the repository for standard information about federal financial assistance applicants, recipients, and subrecipients. OJP requires all applicants (other than individuals) for federal financial assistance to maintain current registrations in the SAM database. Applicants must be registered in SAM to successfully register in Grants.gov. Applicants must **update or renew their SAM registration annually** to maintain an active status.

Applications cannot be successfully submitted in Grants.gov until Grants.gov receives the SAM registration information. The information transfer from SAM to Grants.gov can take up to 48 hours. OJP recommends that the applicant register or renew registration with SAM as early as possible.

Information about SAM registration procedures can be accessed at [www.sam.gov](http://www.sam.gov).

- 3. Acquire an Authorized Organization Representative (AOR) and a Grants.gov username and password.** Complete the AOR profile on Grants.gov and create a username and password. The applicant organization's DUNS number must be used to complete this step. For more information about the registration process, go to [www.grants.gov/applicants/get\\_registered.jsp](http://www.grants.gov/applicants/get_registered.jsp).
- 4. Acquire confirmation for the AOR from the E-Business Point of Contact (E-Biz POC).** The E-Biz POC at the applicant organization must log into Grants.gov to confirm the applicant organization's AOR. Note that an organization can have more than one AOR.
- 5. Search for the funding opportunity on Grants.gov.** Use the following identifying information when searching for the funding opportunity on Grants.gov. The Catalog of Federal Domestic Assistance number for this solicitation is 16.582, titled "Victim Assistance/Discretionary Grants," and the funding opportunity number is OVC-2014-3868.
- 6. Complete the Disclosure of Lobbying Activities.** All applicants must complete this information. Applicants that expend any funds for lobbying activities must provide the detailed information requested on the form *Disclosure of Lobbying Activities* (SF-LLL). Applicants that do not expend any funds for lobbying activities should enter "N/A" in the required highlighted fields.
- 7. Submit a valid application consistent with this solicitation by following the directions in Grants.gov.** Within 24–48 hours after submitting the electronic application, the applicant

should receive an e-mail validation message from Grants.gov. The message will state whether the application has been received and validated, or rejected due to errors, with an explanation. It is possible to first receive a message indicating that the application is received and then receive a rejection notice a few minutes or hours later. Submitting well ahead of the deadline provides time to correct the problem(s) that caused the rejection.

**Important:** OJP urges applicants to submit applications **at least 72 hours prior** to the application due date to allow time to receive validation messages or rejection notifications from Grants.gov, and to correct in a timely fashion any problems that may have caused a rejection notification.

Click [here](#) for further details on DUNS, SAM, and Grants.gov registration steps and timeframes.

### **Note: Duplicate Applications**

If an applicant submits multiple versions of an application, OVC will review only the most recent valid version submitted.

### **Experiencing Unforeseen Grants.gov Technical Issues**

Applicants that experience unforeseen Grants.gov technical issues beyond their control that prevent them from submitting their application by the deadline must e-mail the OVC contact identified in the Contact Information section on the title page of this solicitation **within 24 hours after the application deadline** and request approval to submit their application. The e-mail must describe the technical difficulties and include a timeline of the applicant's submission efforts, the complete grant application, the applicant's DUNS number, and any Grants.gov Help Desk or SAM tracking number(s). **Note: OVC does not automatically approve requests.** After the program office reviews the submission and contacts the Grants.gov or SAM Help Desks to validate the reported technical issues, OJP will inform the applicant whether the request to submit a late application has been approved or denied. If OJP determines that the applicant failed to follow all required procedures, which resulted in an untimely application submission, OJP will deny the applicant's request to submit their application.

The following conditions are generally insufficient to justify late submissions:

- Failure to register in SAM or Grants.gov in sufficient time.
- Failure to follow Grants.gov instructions on how to register and apply as posted on its Web site.
- Failure to follow each instruction in the OJP solicitation.
- Technical issues with the applicant's computer or information technology environment, including firewalls.

Notifications regarding known technical problems with Grants.gov, if any, are posted at the top of the OJP funding Web page at [www.ojp.usdoj.gov/funding/solicitations.htm](http://www.ojp.usdoj.gov/funding/solicitations.htm).

### **Provide Feedback to OJP**

To assist OJP in improving its application and award processes, we encourage applicants to provide feedback on this solicitation, the application submission process, and/or the application review/peer review process. Provide feedback to [OJPSolicitationFeedback@usdoj.gov](mailto:OJPSolicitationFeedback@usdoj.gov).

**IMPORTANT:** This e-mail is for feedback and suggestions only. Replies are **not** sent from this mailbox. If you have specific questions on any program or technical aspect of the solicitation,

**you must** directly contact the appropriate number or e-mail listed on the front of this solicitation document. These contacts are provided to help ensure that you can directly reach an individual who can address your specific questions in a timely manner.

If you are interested in being a reviewer for other OJP grant applications, please e-mail your resume to [ojppeerreview@lmbps.com](mailto:ojppeerreview@lmbps.com). The OJP Solicitation Feedback e-mail account will not forward your resume. **Note:** Neither you nor anyone else from your organization can be a peer reviewer in a competition in which you or your organization have submitted an application.

# Application Checklist

## FY 2014 Vision 21: Linking Systems of Care for Children and Youth Technical Assistance Project

This application checklist has been created to assist in developing an application.

### What an Applicant Should Do:

#### *Prior to Registering in Grants.gov:*

\_\_\_\_\_ Acquire a DUNs Number (see page 21)

\_\_\_\_\_ Acquire or renew registration with SAM (see page 21)

#### *To Register with Grants.gov:*

\_\_\_\_\_ Acquire AOR and Grants.gov username/password (see page 21)

\_\_\_\_\_ Acquire AOR confirmation from the E-Biz POC (see page 21)

#### *To Find Funding Opportunity:*

\_\_\_\_\_ Search for the funding opportunity on Grants.gov (see page 21)

\_\_\_\_\_ Download Funding Opportunity and Application Package (see page 21)

\_\_\_\_\_ Sign up for Grants.gov email notifications (optional) (see page 20)

\_\_\_\_\_ Read [Important Notice: Applying for Grants in Grants.gov](#)

### General Requirements:

\_\_\_\_\_ Review "[Other Requirements](#)" webpage

### Scope Requirement:

\_\_\_\_\_ The federal amount requested is within the allowable limit(s) of \$500,000.

**Eligibility Requirement:** Applicants are limited to state agencies, public agencies, and federally recognized tribal governments (as determined by the Secretary of the Interior), nonprofit and for-profit organizations (including tribal nonprofit and for-profit organizations) and institutions of higher education (including tribal institutions of higher education). For-profit organizations must agree to forgo any profit or management fees.

### What an Application Should Include:

\_\_\_\_\_ Application for Federal Assistance (SF-424) (see page 11)

\_\_\_\_\_ Project Abstract (see page 11)

\_\_\_\_\_ Program Narrative (see page 12)

\_\_\_\_\_ Budget Detail Worksheet (see page 13)

\_\_\_\_\_ Budget Narrative (see page 14)

\_\_\_\_\_ Employee Compensation Waiver request and justification (if applicable) (see page 8)

\_\_\_\_\_ Read OJP policy and guidance on "conference" approval, planning, and reporting available at [www.ojp.gov/funding/confcost.htm](http://www.ojp.gov/funding/confcost.htm) (see page 9)

\_\_\_\_\_ Disclosure of Lobbying Activities (SF-LLL) (see page 21)

\_\_\_\_\_ Indirect Cost Rate Agreement (see page 15)

\_\_\_\_\_ Tribal Authorizing Resolution (see page 15)

\_\_\_\_\_ Additional Attachments (see page 15)

\_\_\_\_\_ Applicant Disclosure of Pending Applications



- \_\_\_\_\_ Research and Evaluation Independence and Integrity
- \_\_\_\_\_ Resumes of Key Personnel
- \_\_\_\_\_ Logic Model
- \_\_\_\_\_ Timeline/Milestone Chart
- \_\_\_\_\_ Letters of Support/Memoranda of Understanding
- \_\_\_\_\_ Accounting System and Financial Capability Questionnaire (see page 18)